# TABLE OF CONTENTS

TABLE	OF ABBREVIATIONSX	V
INTRO	DUCTION	1
1.	Conceptual framework and methodology	3
2.	Plan of the analysis	7

## Part One Prosecutorial Discretion in International Criminal Law

#### CHAPTER I

## CHAPTER II

#### COMPARATIVE ANALYSIS OF PROSECUTORIAL MODELS ACROSS INTERNATIONAL (ISED) CRIMINAL JUSTICE MECHANISMS

1.	Introduction	.41
2.	The IMT and IMTFE: The lack of independence and	the
Pros	ecutor as <i>longa manus</i> of states	.42
3.	The ad hoc Tribunals: From broad discretion to increased judie	cial
inter	ventionism	.46
4.	The hybrid (or internationalised) Tribunals: Heterogeneity a	ınd
the i	nfluence of national models	.51
5.	The ICC: Tempered discretion or tempered legality?	.59

# Part Two

## The Static Dimension of Prosecutorial Discretion at the ICC and the Institutional Interplay Between the Office of the Prosecutor and Chambers

#### CHAPTER III

# THE LEGAL BASIS FOR PROSECUTORIAL DISCRETION AT THE ICC

5.	An overall evaluation	of the statutory and	regulatory framework:
Inte	rmediate conclusions	••••••	

#### CHAPTER IV

# BEYOND THE TEXT AND TOWARDS (SELF-REGULATORY) PRACTICE: THE ROLE OF THE OTP

1.	Introduction	109
2.	Prosecutorial Strategies and Plans	111
3.	Policy Papers	115
	Codes of Conduct and Guidelines: Relevant to the ex	
pros	ecutorial discretion?	
5.	The "Basic Size" of the OTP and the relationship	between
reso	urces and prosecutorial discretion	124
6.	An overall evaluation of the OTP's documents	

#### CHAPTER V

# LIMITS OF AND REMEDIES AGAINST THE OTP'S DISCRETIONARY DECISIONS: THE ROLE OF JUDGES (IN PARTICULAR OF PRELIMINARY JUDGES)

1. Introduction			
2. The role of the Pre-Trial Chamber at the pre-investigation and			
investigation phases			
2.1. Pre-trial management measures and the power to request			
additional information132			
2.2. Preliminary rulings on jurisdiction: Advisory powers?135			
2.3. Authorisation powers			
2.4. Judicial review of negative decisions: Persuasive and			
corrective powers			
2.5. Confirmative powers			
2.6. Protective powers relating to evidence and persons			
participating in the proceedings147			
3. Preliminary conclusions149			

# Part Three

# The Dynamic Dimension of Prosecutorial Discretion and of its Judicial Supervision: A Practice-based Analysis

## CHAPTER VI

# CONCEPTUAL TOOLS FOR THE ANALYSIS OF PROSECUTORIAL AND JUDICIAL PRACTICE AT THE PRE-TRIAL STAGE OF THE ICC PROCEDURE

1. I	Introduction
2. Т	The concept of prosecutorial practice in the context of the
presen	nt study
3. Т	The principle of proportionality between the degree of discretion
and th	ne degree of judicial oversight155
4. (	Open clash v. smooth relationship: The explicative power of
opposi	itions
5. Т	The dissociation of formants hypothesis: Attempting to measure
the dis	screpancy between the law in the books and the law in action

#### CHAPTER VII

#### THE OTP'S DISCRETIONARY PRACTICE AND ITS JUDICIAL REVIEW

1. Introduction
2. Prosecutorial (and judicial) practice in preliminary examinations
2.1. The proceduralisation of preliminary examinations 165
2.2. Opening (or not opening) a preliminary examination 170
2.3. The length and 'tempo' of preliminary examinations 179
2.4. The reporting activity of the OTP and its public statements
3. The conclusion of preliminary examinations: The OTP's decision
on the opening or not opening of an investigation191
3.1. Decisions not to proceed with an investigation pursuant to
article 53(1) of the Statute
3.1.1. Lack of the preconditions for the exercise of jurisdiction
3.1.2. Failure to meet the jurisdictional criteria
3.1.3. Failure to meet the admissibility test, in particular as to
sufficient gravity
3.1.4. Closing a preliminary examination based or
complementarity: Recent trends
3.2. Decisions to open an investigation of a situation pursuant to $\frac{1}{2}$
article 53(1) of the Statute206

<ul> <li>3.2.2. Prosecutorial and judicial practice in case of <i>proprio motion</i></li> <li>3.3. Prosecutorial discretion in deferral and resumption of investigations proceedings under article 18: Good faith complementarity or loss of time?</li></ul>	3.2.1. Investigations opened in case of state and UNSC refer	
<ul> <li>3.3. Prosecutorial discretion in deferral and resumption or investigations proceedings under article 18: Good faith complementarity or loss of time?</li></ul>		
<ul> <li>investigations proceedings under article 18: Good faitl complementarity or loss of time?</li></ul>		
<ul> <li>complementarity or loss of time?</li></ul>	1	
4. Other aspects of prosecutorial discretion beyond the preliminar	complementarity or loss of time?2	224
		-

#### Part Four

## A Critical Assessment of Prosecutorial Practice and its Judicial Review: Comparing the Law in the Books and the Law in Action

#### CHAPTER VIII

# IS THERE A SIGNIFICANT DISSOCIATION BETWEEN THE STATUTORY MODEL OF PROSECUTORIAL DISCRETION AND JUDICIAL REVIEW AND THEIR PRACTICAL IMPLEMENTATION?

1.	Introduction	5
2.	A quantitative and qualitative analysis through the open clash v	7.
smo	oth relationship dichotomy230	6
	Assessment of the consistency in the course of action of the OT	
and	judges24	6
	Assessment of the dissociation of formants	

#### CHAPTER IX

#### THE POSSIBLE EXPLANATIONS AND THE POTENTIAL ADVERSE CONSEQUENCES OF THE DISSOCIATION OF FORMANTS AND OF THE OTP-JUDGES DISAGREEMENTS

1. Possible explanations for the dissociation of formants and the
OTP-Judges disagreements
1.1. The (inevitable) inadequacy of the statutory and regulatory
framework258
1.2. The inadequacy of prosecutorial policies, strategies and
guidelines260

	1.3.	The Prosecutor's constituencies and the self-perception	n of
	the Off	fice's institutional role	261
	1.4.	Judges' role and the lack of an overarching judicial strat	tegy
	as rega	rds the exercise of supervision	263
2.	The	potentially detrimental consequences of the status quo	265
	2.1.	Lack of cooperation between OTP and judges in case	e of
	interpr	etive disagreement	266
	2.2.	The oscillation between excessive judicial deference	and
	excessiv	ve interventionism and its consequences	267
	2.3.	Inefficiency in the use of the Court's resources	269

#### CHAPTER X

## REDUCING THE GAP BETWEEN THE LAW IN THE BOOKS AND THE LAW IN ACTION AND MINIMISING THE CONSEQUENCES OF SYSTEMIC INTERPRETIVE DISAGREEMENTS

1.	Introduction	3
2.	The OTP's role and the necessity to enhance the consistency o	f
pros	ecutorial choices274	4
3.	The judges' contribution to the coherence of the system282	1
4.	Proposals for the amendment of regulatory texts in light of the	e
deba	ate on the reforms of the ICC	9
Conci	LUDING REMARKS	9
Table	OF CASES	7
BIBLIC	OGRAPHY	9